

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Malitzis et al. Art Unit : 3628
Serial No. : 09/812,225 Examiner : Harbeck, Timothy
Filed : March 19, 2001 Conf. No. : 9467
Title : ODD LOT PROCESSING IN CENTRALIZED AUTOMATED MARKET SYSTEM

MAIL STOP RCE

Commissioner for Patents
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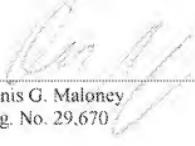
INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: 


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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09857-057001	Application No. 09/812,225
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant John Malitzis et al.	
(37 CFR 51.98(b))		Filing Date March 19, 2001	Group Art Unit 3628

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date if Appropriate
	AA	7,181,424		Ketchum et al.			
	AB	7,209,896		Serkin et al.			
	AC	6,195,647		Martyn et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AI						
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	U.S. Patent Application Serial No. 09/401,875, filed 9-23-1999
	AR	U.S. Patent Application Serial No. 09/404,517, filed 9-23-1999
	AS	U.S. Patent Application Serial No. 09/401,873, filed 9-23-1999
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	